Shee	t t	1	of 8
Shee	? L	1	OI OF

	NATION DISCLOSURE	ATTY, DOCKET	10.	SERIAL NO.			
	CITATION		10/613,319				
		APPLICANT					
(Lleo	several sheets if necessary)	HARRINGT FILING DATE	ON et al.	GROUP	-V-60		
(050	several sticets it necessary)		_				
		07 July 200	3	3694			
		U.S. PAT	ENT DOCUMENTS				
MINER				61.466	011001.400	FILING	
ITIAL	DOCUMENT NUMBER 5,640,569	DATE 06/1997	NAME Miller et al.	CLASS	SUBCLASS	IF APPRO	PRIA
<u>22</u>	5,774,176	06/1998	Carter				
35	3,774,170	00,7770	Care				
		FOREIGN F	PATENT DOCUMENTS			TRANS	ATIO
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	DOGGINEITI				T		
				· · · · · · · · · · · · · · · · · · ·			
					<u> </u>		
		<u> </u>		1 4	1		
1101 1	OTHER DOC	JMENTS (including	g Author, Title, Date, Perdants' Notice of "prior Art" P	tinent pages, e	C 8282"	<u> </u>	
/JS/	Lawrence, U.S. Applica	ution No. 08/342 809	filed 11/21/1994	ursuam to 35 C.c	7.0. 3202	-	
1 1	"Is an NC more than a	C?" Network World.	1997				
	10, 411 7 1 2		1771				ation
	Copeland, Duncan G. e	t al. "Sabre: The Deve	elopment of Information Base	ed Competence ar	id Execution	of Inform	ianon
	Based Competition" (19	t al. "Sabre: The Deve 195)	elopment of Information Base	ed Competence ar	id Execution	of Inform	
	Based Competition" (19 Leiner, Barry M. et al.,	t al. "Sabre: The Deve 1995) "A Brief History of the	elopment of Information Base ne Internet" (2003		nd Execution	of Inform	ilation.
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF	t al. "Sabre: The Deve 1995) "A Brief History of the FRFC 2235, "Hobbes	elopment of Information Base		nd Execution	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth o	t al. "Sabre: The Deve 1995) "A Brief History of the FRFC 2235, "Hobbes If the Internet	elopment of Information Base ne Internet" (2003 'Internet Timeline" (11/1997)	nd Execution	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 90s," National Center	the Internet" (2003 Internet Timeline" (11/1997 for Supercomputing Applica)	nd Execution	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth o "NCSA History: the 19 "Entrepreneur of the Yo	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center Par," Ernst & Young (the Internet" (2003 Internet Timeline" (11/1997 for Supercomputing Application (2002)) itions (2002)		of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth o "NCSA History: the 19 "Entrepreneur of the Yo Ruth, Heather, "PSA's "Home Many Online?"	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center ear," Ernst & Young (Managing Information NUA (2004)	elopment of Information Base ne Internet" (2003 Internet Timeline" (11/1997) for Supercomputing Applica 2002) In and Technology in the Mun) itions (2002) icipal Bond Mark		of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the Young Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey	t al. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," Ernst & Young (Managing Information 1904, Jan 2004," Internet 1905)	relopment of Information Bases re Internet" (2003 red) Internet Timeline" (11/1997 for Supercomputing Application 2002) red and Technology in the Mun Systems Construction, Inc. (2	tions (2002) icipal Bond Mark 2004)	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the Young Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey Elliott, Elaine X., "A N	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s, "Ernst & Young (Managing Information 1908), Jan 2004," Internet 1908 web Site Gives A	relopment of Information Bases re Internet" (2003 Internet Timeline" (11/1997) for Supercomputing Application 2002) re and Technology in the Mun Systems Construction, Inc. (2) gents an in on the Auction C.) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth o "NCSA History: the 19 "Entrepreneur of the Yo Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey Elliott, Elaine X., "A N Piou, Edward, "Open-S	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Ernst & Young (Managing Information 1908, "Jan 2004," Internet 1908 web Site Gives A 1909 ource Software and Site Site Site Site Site Software and Site Site Site Site Site Site Site Site	relopment of Information Bases The Internet" (2003 The Internet Timeline" (11/1997 Tor Supercomputing Application 2002) The And Technology in the Municipal Systems Construction, Inc. (2) The Internet Timeline (3) The Internet Timeline (3) The Internet Timeline (3) The Internet Timeline (4) The Internet) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth o "NCSA History: the 19 "Entrepreneur of the Yo Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Surve Elliott, Elaine X., "A N Piou, Edward, "Open-S "Amazon investor relat	a al. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Ernst & Young (Managing Information 1908, Internet 1908, Internet 1909, Inte	elopment of Information Bases ne Internet" (2003 Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction C oftware Patents" (7/27/1999)) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the You Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survet Elliott, Elaine X., "A N Piou, Edward, "Open-S "Amazon investor relat "Auction Services Com	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Ernst & Young (Managing Information 1908, "August 1909, Jan 2004," Internet 1909 web Site Gives A 1909 ource Software and Site Site Site Site Site Site Site Site	elopment of Information Bases ne Internet" (2003 Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction Cooftware Patents" (7/27/1999) com n Services) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the You Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survet Elliott, Elaine X., "A N Piou, Edward, "Open-S "Amazon investor relat "Auction Services Com "Sources Guyides Ener	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Ernst & Young (Managing Information 1908, "August 1909, Jan 2004," Internet 1909 web Site Gives A 1909 ource Software and Site Software and Site Software 1909, "Amazon. "Amazon." Amazon. "Amazon." Auction 1909, "Source Gives A 1909, "Auction 1909, "Source Gives A 1909, "Source Gives A 1909, "Auction 1909, "Source Gives A 1909	elopment of Information Bases ne Internet" (2003 'Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction Cooftware Patents" (7/27/1999) com n Services duides) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the You Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey Elliott, Elaine X., "A N Piou, Edward, "Open-S "Amazon investor relat "Auction Services Com "Sources Guyides Ener "Scout Report: June 2,	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Ernst & Young (Managing Information 1908, "An 2004," Internet 1908 web Site Gives A 1909 ource Software and Sions FAQ," Amazon. 1909 pany Profile," Auction 1995," InterNIC (6/1	elopment of Information Bases ne Internet" (2003 'Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction Cooftware Patents" (7/27/1999) com n Services uides //1995)) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the Young Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey Elliott, Elaine X., "A No Piou, Edward, "Open-Some "Amazon investor related "Auction Services Come "Sources Guyides Enered Tour Report: June 2, "Scout Report: Week Internet Domain Survey Elliott, Elaine X., "A No Piou, Edward, "Open-Some Tour Elliott, Elaine X., "A No Piou, Edward, "Open-Sources Come "Sources Guyides Enered Tour Elliott, E	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Paris & Young (Managing Information 1908, "Internet 1908," Internet 1909, "Internet 1909, "Amazon. 1909, "Amazon. 1909, "InterNIC (6/1) Ending October 14, 15	elopment of Information Bases ne Internet" (2003 'Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction Cooftware Patents" (7/27/1999) com n Services duides) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)	of Inform	
	Based Competition" (19 Leiner, Barry M. et al., Zakon, Robert H., IETF Barrett, Bryan, "Birth of "NCSA History: the 19 "Entrepreneur of the Young Ruth, Heather, "PSA's "Home Many Online?" Internet Domain Survey Elliott, Elaine X., "A N Piou, Edward, "Open-S" "Amazon investor relatt" "Auction Services Com" "Sources Guyides Ener" "Scout Report: June 2, "Scout Report: Week I	tal. "Sabre: The Develops) "A Brief History of the RFC 2235, "Hobbes of the Internet 190s," National Center 190s," National Center 190s," Paris & Young (Managing Information 1908, "Internet 1908," Internet 1909, "Internet 1909, "Amazon. 1909, "Amazon. 1909, "InterNIC (6/1) Ending October 14, 15	elopment of Information Bases ne Internet" (2003 l'Internet Timeline" (11/1997 for Supercomputing Applica 2002) n and Technology in the Mun Systems Construction, Inc. (2 gents an in on the Auction Coftware Patents" (7/27/1999) com n Services fuides //1995)) itions (2002) icipal Bond Mark 2004) raze" (11/18/1996	zet" (1995)		

INFORMATION DISCLOSURE		ATTY, DOCKET NO.	SEF	RIAL NO.		
CITATION		3594-14	. 10	/613,319		
		APPLICANT				
		HARRINGTON e	t al			
/I lea ea	veral sheets if necessary)	FILING DATE		A.U.		
(Use se	veral sheets it necessary)					
		07 July 2003	36	94		
/JS/	"Scout Report: Week Endir					
	"Scout Report: Week Ending					
	"Scout Report: Week Endir "Scout Report: Week Endir					
	Rutkowski, Anthony-Micha	ng August 16, 1999, The	Enture of the Internet" Five	e Faces (7/27-29/1994		
				0.1 4000 (1/21/27/17/1		
	"USA Web Press Release," USAWeb.com (5/7/1999) Bradner, S. "Why Now?" (1993)					
	Landes, David, Letter from David Landes to Dan Veres (8/16/1996)					
	Thomas, Rick, Letter from Rick Thomas to Dan Veres with enclosed pamphlet (8/28/1996)					
	Weber, Dr. Bruce W., "How Financial Markets are Going On-Line" (10/1993)					
	Reiter, Michael K., "Distributing Trust with the Rampart Toolkit" (4/1996)					
	Franklin, Mathew K. et al., "The Design and Implementation of a Secure Auction Service" (1995)					
	Frank Maurice, DMBS Online, "Database and the Internet" (12/1995)					
	Stein, Lincoln D., "How to Set Up and Maintain a World Wide Web Site - The Guide for Information Providers"					
	(8/1995)					
	Comer, Douglas E., "The Internet Book" (1997)					
1 1	Stevens, W. Richard, "TCP/IP Illustrated, Vol. 3: TCP for Transactions, HTTP, NNTP, and the Unix Domain Protocol					
	(01/1996) Tanenbaum, "Computer Networks" (1996)					
	Gralla, Preston, "How the I	nternet Work," (1996)				
	Reynolds, Kate, "Going, G	oing, Gone! A Survey of	Auction Types" (1996)			
	Sutton, Marty et al., "Aucti	ons - CMSC 691F-Electr	onic Commerc," (1999)			
	"Fundamentals of Municipa	al Bonds," Public Sccuriti	cs Association (1981)			
	"bond.pl" perl script filed v	vith provisional application	n (5/22/1997)			
	"TIC Calculation Code" file	ed with provisional applic	ation (5/29/1997)			
	"Twenty-First Century Mu			Municipals (7/1995)		
	"New BidComp Capability	Announcement" (01/199	5)	(02/1005)		
	"New BondBidder Capabil		ncial Systems Group, inc.	(02/1993)		
	"Interface Between PARIT Davis, Douglas D. et al., "I	Y and BidComp"	(1003)			
	"Micro-Muni Bidding: Ref	erence Manual V 7 10 "	Financial Management Sv	stems, Inc. (1992)		
	"Competitive Ridding Tute	orial Using MicroMuni Bi	dding." Financial Manage	ment Systems, Inc. (1992)		
	"Competitive Bidding Tutorial Using MicroMuni Bidding," Financial Management Systems, Inc. (1992) "Business Plan – Edition #3", Twenty-First Century Municipals, Inc. (05/1996)					
	Parity v. 2.45, Twenty-Firs					
	BidComp v. 2.4, BidComp					
	Q-Bid, Q-Bid (09/1991)					
	Bondbidder v. 5.2, BondBi	dder (11/1994)				
	Screen captures from test Run of Q-Bid, Q-Bid (unknown)					
	Screen captures from a run	of BondBidder, BondBid	der (unknown)			
	Screen captures from a run	of PARITY, Twenty-Fire	t Century Municipals (un	known)		
	Speech of Gerard Miller to	the Government Finance	Officer's Association, Ge	crard Willer (05/1990)		
	Text of Speect of Gerard M	Ailler to the Government I	inance Officer's Associat	tion, Gerard Miller (05/1996)		
-\//-	BidComp and PARITY So	ereen Dumps, BidComp/P	arity (unknown)	re (ICA)", Thin Planet (05/06/1997)		
	Citrix Announces Major I	vinesione for its independ		•		
	/Jamie S	wartz/		08/20/2007		
*Examiner	/Jailie 3	TTUI LEI	Date Considered			

INFOR	MATION DISCLOSURE	ATTY. DOCKET NO.	SERI	IAL NO.		
	CITATION	3594-14	10/	613,319		
		APPLICANT				
		HARRINGTON et al.				
(Use	e several sheets if necessary)	FILING DATE	TC/A	ı.U.		
,	,		369	14		
		07 July 2003	303	,,,		
7JS/ 1	"Sample Form of MuniBio	l Notice of Sale" (unknown)				
	"Introduction to MuniBid	Optimizing Municipal Bond Sales"	(unknown)			
\neg	"BidComp Competitive B	idding System" (screen capture) (1/	15/1998; 3/3/1998)		
		unicipal Bond Sales" Brochure (unl				
	Parity and the Municip	oal Securities Issuer, Unknown (unk	(nown)			
	Parity Brochure (PARITY	: A Real-Time, No-Risk Bidding N	etwork From 21st (Century Municipals, Twenty-First		
_	Century Municipals (1992	(1)	11: 2: 10	21 (1/12/1007)		
	"Internet Benefits SF Red	evelopment Offering", California P	ublic Finance, VIU.	The Dand Brown v280, #2821		
	"In Today's Muni Market (8/29/1989)	, Data Bases, Not Books, Provide",	INICHOIAS J. IJAZZO.	, The Dona Duyer, v209, #2021		
	Dealers, clients With time	ly Bond Data: Municipal Bond Dc	alers have never ha	nd it so casy"		
	"JP Morgan and Capital Link in Bid to develop Electronic Auction for Corporation Debt Securities", Trading System					
	Technology, c2, n20 (4/24/1989) "Grant Street Advisors Is Setting Up the First Muni Bond Auction Web Site", The Bond Buyer, v320, #30159					
	(6/14/1997)					
	"Survey Shows Bond Mar	rket is Warming Up to Electronic T	rading", Richard R	ichtmyer, The Bond Buyer, v321, #3021		
-	(8/20/1997)	ory for mark "PARITY & Design" (Reg. No. 1772571) including specimen entitled "PARITY.		
	Real-Time, No Risk Bidding Network From 21 st Century Municipals", Twenty-First Century Municipals (1992) "Electronic Bidding for Municipal Bonds: Technology Innovations for Competitive Bond Sales", Renata Morgensterr					
	"Electronic Bidding for M (2/2000)	Iunicipal Bonds: Technology Innov	vations for Compet	itive Bond Sales", Renata Morgenstern		
	"Where is Dalcomp" New	Product Awaits Inaugural Deal", I	Robert Whalen (8/1	9/1998)		
	"Dalcomp's Electronic Bi	dding System Set to Debut With W	isconsin GO Deal'	', Robert Whalen (8/21/1998)		
	4/30/1996 Fax, PARITY	(4/30/1996)				
	"Q: What Do These Issue	s Have in Common", Unknown (un	known)			
	Official Notice of Sale, T	ennessee Local Development Author	ority (unknown)			
	Bid Form, Tennessee Loc	al Development Authority (unknow	/n)			
	PARITY Bid Form, PAR	ITY (unknown)				
	"Financial Advisors/Issue	ers", Unknown (unknown)	Leguere see the foll	owing data for each bid and for each issu		
	Financial Advisors/issue	this information only shows after t	he deadline for rec	eint of bids)." . Unknown (unknown)		
	by dialing 206-635-0940 (this information only shows after the deadline for receipt of bids).", Unknown (unknown Parity Issue Viewer Program Screen Specs, Parity (undated)					
	90th Annual Conference, Portland, Oregon, Government Finance Officers Association (5/19-22/1996)					
	An Analysis of Emerging Trends I the Securities Industry Vol.XXII, No. 3, Securities Industry Association (7/18/19/					
	America's Financial Markets – A Global Capital Pool Vol. XXII, No. 4, Securities Industry Association (8/30/1996)					
	E-Mail Notices/Minutes from Worksheets Portion of Meetings, Charles Smith (11/26/1996)					
	Summary of TIC Calculations, BidComp (unknown)					
	E-mail re: Answer to some Bidcomp Questions, Cheryl Horowitz (8/28/1996)					
	E-mail re: BidComp Question, Jill Kirchheimer (8/22/1996)					
	E-mail re: Don Wise Med	eting, Jill Kirchheimer (5/21/1996)				
	E-mail re: BidComp Que	stion, Jill Kirchheimer (8/22/1996)				
	E-mail re: BidComp, Jill	Kirchheimer (8/23/1996)				
$\overline{\Delta}$	E-mail re: BidComp Que	stion, Jill Kirchheimer (8/23/1996)				
V	E-mail re: BidComp Que	stion, Jill Kirchheimer (8/23/1996)				
	1	, , I	1	00/20/2007		
Examiner	/Jamie	Swartz/ _{Da}	te Considered	08/20/2007		
CABUILLE		1 20				

011661 4	01 1					
INFORM	ATION DISCLOSURE	ATTY. DOCKET NO.	SEF	RIAL NO.		
	CITATION	3594-14	10	/613,319		
		APPLICANT	***			
			-4 -1			
		HARRINGTON				
(Use	several sheets if necessary)	FILING DATE	TC/	A.U.		
		07 July 2003	36	94		
/JS/ T	E-mail re: BidComp, Jill K	Grehheimer (8/23/1996)				
700/	E-mail re: BidComp, Jill k					
	E-mail re: BidComp Expo		/1996)			
	E-mail re: BidComp Ques					
	E-mail re: BidComp, Jill k					
	List of Things We Want to		ated)			
	Facsimile to Donald Wise	re Bid Specifications, A	ngela Miceli (5/29/1996)			
	Facsimile to Donald Wise	re Bid Specifications, A	ngela Miceli (5/29/1996)			
	Facsimile to Donald Wise	re Bid Specifications, Cl	neryl Horowitz (10/24/1996)			
	The Bond Buyer's Compe	titive Post-Sale Workshe	et - Jessieville School Distr	ict No. 1 of Garland County, Arkansas,		
	The Bond Buyer (1996)					
	Muniview Search Software Requirements Specification, Unknown (7/17/1997) Website printouts of TM Market Monitor Search printouts, Unknown (2/3/1998)					
	Website printouts of TM N	Market Monitor Search p	rintouts, Unknown (2/3/199)	8) M. Marie J. Craws (1008)		
			for (TM3) Functional Requi	rement, Thomson Municipal Group (1998)		
	BidComp 1992 Revenue Data, BidComp (1992) Memo Regarding Bidcomp Revenue Monthly Accrual for November, Angela Miceli (11/16/1992)					
	Memo Regarding Bidcomp Revenue Monthly Accrual for November, Angela Miceli (11/16/1992) PC BidComp Usage Tracking Report for 1992, BidComp (1992)					
	E-mail regarding Bidcomp Revenue Monthly Accrual for January, Angela Miceli (1/21/1992)					
	E-mail regarding Bidcomp Revenue Monthly Accrual for January, Angela Micell (1/21/1992)					
	Summary Sheets for Glen 1-3 BidComp Summary Report, BidComp (unknown)					
	E-mail regarding BidComp Revenue Monthly Accrual for August, Angela Miceli (8/21/1992)					
	PC BidComp Usage Tracking Report for 1991, BidComp (1991) E-mail regarding Bidcomp Revenue Monthly Accrual for October, Angela Miceli (8/16/1992)					
	PC BidComp Usage Track	cing Report for 1991-199	2 BidComp (1991)	(0, 10, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,		
	BidComp Accounts Recei	vable Period Analysis B	idComp (7/1993)			
	Parity Facsimile Return S					
	Executive overview, 21st (
	The Bond Buyer's Compe	titive Presale Worksheet	(various entities), The bond	l Buyer (1995)		
	Parity-Host Computer Op					
	Letter forwarding Americ	an Banker Bond Buyer E	Brochure, Joyce Smith (8/28)	/1991)		
	Customer Sales Journal, 2	1st Century Municipals (1/1/1994 – 3/31/1997)			
	MuniBid Survey of Deale	rs, MuniBid (2/7/1997)				
	Letter Forwarding proposal to develop the PC BidComp Software, Dr. Ernest Manuel, Jr. (12/1/1988)					
	Dalcomp and TM3: Integrated Technology Brochure, Thomson Financial Municipals Group (unknown)					
	Publications and Other Re	esearch Economic Policy	Review, Federal Reserve B	ank of New York (1995)		
	Parity Log On Screen Cor	nputer Instruction, 21 st C	Century Municipals, Inc. (un	known)		
	Joint Marketing Agreement between 21st Century Municipals, Inc. and T.R. Nelson & Associates, Inc., 21st Century					
	Municipals, Inc. (11/10/199) Marketing Agreement between 21 st Century Municipals, Inc. and Thomson Municipal Services, Inc., 21 st Century					
	Marketing Agreement bet	ween 21st Century Munic	cipals, Inc. and Thomson Mi	unicipal Services, Inc., 21" Century		
	Municipals, Inc. (11/22/19	994)	36 11 1 1 17 4 4 1	Cinemaial Notwork Inc. 218 Contract		
	License and Use Agreeme	License and Use Agreement between 21st Century Municipals and Integrated Financial Network, Inc., 21st Century				
\longrightarrow	Municipals, Inc. (5/10/19	90) Finad Impama Market - 2	1st Century Municipals Inc.	(7/23/1996)		
	On-Line Services for the	rixed income Markets, 2	1 st Century Municipals, Inc.	(IILJILI)JO)		
-\//-	Offering Memorandum, 2 Post-it Note regarding TF	052668-74 Cheryl Horo	witz (undated)			
	prost-it inote regarding 1F	052000-74, Clicryl F1010	with (undated)			
	/Jamie Sv	vartz/		.08/20/2007		
*Examiner	/5aiiiic 5V	7 W1 M-1	Date Considered			

Sheet 5 of 7							
INFORMATION DISCLOSURE	ATTY. DOCKET NO.	SERIAL NO.					
CITATION	3594-14	10/613,319					
	APPLICANT						
	HARRINGTON et al.	•					
(Use several sheets if necessary)	FILING DATE	TC/A.U.					
(656 557 3.6.5 3.7.6 5.5 7.7.6 5.5 5.7.7							
	07 July 2003	3694					
1101 mus c	D Charling	6. (11/14/1007)					
/JS/ TMIS Competitive Package	Business Plan, Cheryl Horowi garding Parity, 21 st Municipals	Iz (11/14/1997)					
Letter from C. Horowitz to	McCov re: link between Bid	Comp and Parity, Cheryl Horowitz (3/19/1998)					
Additional comments from	Financial Advisors and Issuers	Allen Williams and Cheryl Horowitz (4/9/97)					
TMG Marketing President's							
	ons, 21st Century Municipals, I	nc. (1997)					
Introduction to MuniBid On	Introduction to MuniBid Optimizing Municipal Bond Sales, Rich Thomas (undated)						
Sample Form of Munibid N	Sample Form of Munibid Notice of Sale - Option 1: For Individual Maturity Bids Only, Rich Thomas (undated)						
Form Agreement for Under	writers re: Implementation of P	arity, 21st Century Municipals, Inc. (undated)					
Telecommunications for Mu	Telecommunications for Municipal Security Issuers, Advisors and Dealers, 21st Century Municipals, Inc. (undated)						
In Today's Muni Market, D	In Today's Muni Market, Data Bases, Not Books, Provide Dealers, Clients with Timely Bond Data: Municipal Bond						
Dealers Have Never Had it	Dealers Have Never Had it so Easy, The Bond Buyer (8/29/1989)						
	JP Morgan and Capital Link in Bid to Develop electronic Auction for Corporate Debt Securities, Trading Systems						
Technology (4/24/1989)	Survey Shows Bond Market is Warming up to Electronic Trading, Richard Richtmyer (8/20/1987)						
Parity: Current Customers a	Parity: Current Customers and States, parity (4/30/1996) Q&A of What do these issues have in common?, Parity (6/6/1995)						
Official Notice of Sale Ten	Official Notice of Sale Tennessee Local Development Authority, Unknown (5/10/1996)						
Suggested Language for Inc	luding in the Notice of Sale Re	garding Parity, Unknown (unknown)					
Citations from Newsletters.	Business Week (1994)						
A New Way to Manage Ho	liday Gift Returns; On Man's C	sewgaw is Another's Treasure on Internet Auction Service,					
Business Wire (12/27/1996	 submitted as several articles 						
Flyer regarding BidComp,	BidComp (undated)						
		arket Information Services (1997)					
Flyer regarding BidComp,	BidComp (undated)	CTI D 1 D DidCome (undated)					
BidComp: Reliability and S	upport, Backed by the Integrit	y of The Bond Buyer, BidComp (undated)					
Parity Screenshots: Runnin	Parity Screenshots: Running the Parity Program, Parity (undated)						
Letter from D. Landes to F	Letter from D. Landes to Financial Advisors, parity (10/26/1994) Technology Forum: Which Technologies Will Shape the Municipal Market?, Sarah Stirland (8/14/1996)						
Pouters MuniMarket Service	Reuters MuniMarket Services, Reuters MuniMarket Services (undated)						
Utah Plans \$265 Million D	Utah Plans \$265 Million Deal via Bloomberg, The Bond Buyer: Robert Whalen and Michael Marois (6/2/1998)						
Results of Competitive Sale	Results of Competitive Sales, The Bond Buyer (9/30/1998)						
Bloomberg's "Virtual Road	Bloomberg's "Virtual Road Show" Helps IPA Bury the Old Dog & Pony, The Bond Buyer: Robert Whalen (9/9/19						
DalComp Purchases Parity	DalComp Purchases Parity-Release, Cheryl A. Horowitz (1/5/1998)						
How to Use Parity on Your	How to Use Parity on Your Next Sale, Parity (1/23/1998)						
Integrated Technology for	Integrated Technology for today's Competitive Sale Market (brochure), Thomson Municipal Group (undated)						
Integrated Technology to T	Integrated Technology to Today's Competitive Sale Market (Draft), Thomson Municipal Group (undated) Competitive issues for School District of Upper Dublin (Pennsylvania), Unknown (6/15/1995)						
Competitive issues for Scho	ool District of Upper Dublin (P	ennsylvania), Unknown (0/13/1993)					
Dalcomp: A Thomson Fina	ncial Services Company (brock	Systems, The Bond Market Association (10/1997)					
Special Survey on the Futu	Productions via Satellite and Co	ible TV", The Bond Buyer: Sarah Stirland (4/16/1997)					
21st Century Municipals Ir	c /BondsOnline Web Stats. 21	Century Municipals (7/22/1996)					
Parity and MuniBid: Buy t	ne Bonds You Want!, 21st Cent	ury Municipals (undated)					
21 st Century Municipals Cu	stomer Sales Journal, 21st Cen	tury Municipals (3/28/1997)					

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Date Considered

/Jamie Swartz/

*Examiner

08/20/2007

INFORM	MATION DISCLOSURE	ATTY, DOCKET NO.	SERIAI	L NO.		
	CITATION	3594-14	10/6	13,319		
	•	APPLICANT				
		HARRINGTON et al.				
(Use	several sheets if necessary)	FILING DATE	TC/A.U	· ·		
,	,,		3694			
		07 July 2003	3034			
/10/ 1	Parity Activity, 21st Centu	m. Maniginala (undated)				
/JS/	Bond Activity, 21 st Centur	ry Municipals (undated)	<u> </u>			
1		Products via Satellite and Cable TV.	The Rond Ruver: Sa	arah Stirland (4/16/1997)		
		10,000 th Winning Bid, Business W		(11-21-17-1)		
		Internet to Market, Sell Municipal		(11/19/1997)		
		e for City of Pittsburgh, Grant Stre				
	Competitive Did New Issu	Municipal Bonds is Coming to the	Vorld Wide Web Th	e Rond Ruyer: Richard Richmeyer		
	(6/4/1997)	runicipal Bolids is Colling to the V	vona vriac vreo, m	· Bond Bayor. Rionard Rionardyor		
		Municipal Market Monitor, Thomso	n Municipal Monitor	r (2001)		
	E-mail from Sarah Thoma	s to Myles Harrington and Dan Ve	es re: '219 Patent, Sa	arah Thomas (1/13/1999)		
	E-mail from Sarah Thoma	s to Myles Harrington and Dan Ver	es re: '896 Patent, Sa	arah Thomas (1/13/1999)		
	Expedia: Screen Shot, Exp					
		, Angela Miceli (3/7/1996)				
	Notes re BidComp/DalCo					
		and Screen Shots, Parity (various	992)			
	MuniAuction Takes Show on the Road, Pitches Case to Potential Customers, Jodi B. Cohen (2/17/1998)					
	Offering Memorandum, 2	1 st Century Municipals (4/1/1997)				
1	Panelists Extol the Many	Benefits of Widespread Internet-Ba	sed Trading, The Bo	nd Buyer: Robert Whalen (3/16/1998		
	Panclists Extol the Many Benefits of Widespread Internet-Based Trading, The Bond Buyer: Robert Whalen (3/16/1998) PARITY (v.2.0) Screen Shots and Information Manual, Parity (1994)					
	Participants See the Market Playing a Good Game of Catch-Up, The bond Buyer: Robert Whalen (4/8/199)					
	Piedmont Software Company, The Bond Swap Series, Piedmont Software Company (undated)					
	Proposal to Purchase for City of Pittsburgh, City of Pittsburgh (12/3/1996)					
_	Proposed Structure of Joir	nt Venture Between FSG and ABB	3, Unknown (12/3/19	991)		
_	Response from Patent Off	ice on Harrington Application, Pate	ent office (9/9/19990			
	Screen Shot of Bid Ohio,	State of Ohio (1999-2001)				
	How to Use Parity on You	r Side, Parity (unknown)				
	Thomson On-Line Works	heets Phase One, Thomson Financi	al Services (unknow	n)		
	Thomson Pre-Sale Works	heet Printed Report, Thomson Fina	ncial Services (unkno	own)		
	Thomson Post-Sale Work	sheet Printed Report, Thomson Fin	ancial Services (unkr	nown)		
		d Negotiated Brief Printed Reports,				
	Thomson Pre-Sale Works	heets On-Line Presentation (Draft	.0). Thomson Finance	cial Services (unknown)		
	Thomson Post-Sale Work	sheets Online Presentation (Draft 1	0). Thomson Finance	ial Services (unknown)		
	Thomson Negotiated and	Competitive Briefs On-Line Preser	tation (Draft 1.0) Th	omson Financial Services (unknown)		
		Thomson Municipal Market Monit				
	TMIS Competitive Package Business Plan, Cheryl Horowitz (11/14/1997)					
	Trademark Application for parity, 21st century Municipals (5/18/1993)					
	PARITY Form of Agreement, David Landes (2/6/1995) Suggested Language for Including in the Notice of Sale Regarding PARITY, Unknown (unknown) Agreement between Parity and Seattle First National Bank, David V. Landes (8/25/1992)					
	Agreement between Parity and Seattle First National Bank, David V. Landes (8/25/1992) Agreement between Parity and Norwest Investment Services, Inc., David V. Landes (2/9/1994)					
	Agreement between Parity	ty and Kirkpatrick, Pettis, Smith, Pe	olian Inc. David V. Lanc	andes (1/19/1993)		
	Agreement between Paris	y and T.P. Nolson & Associates In	o Torry R Nolcon	(11/10/1993)		
. 	Agreement between Parit	y and T.R. Nelson & Associates, In	Inc. David V. Land	des (5/20/1996)		
₩	Agreement between Parity	y and Integrated Financial Network tween Parity and Integrated Financ	al Network Inc. Do	vid V. Landes (2/10/1997)		
	protice of Termination be	ween rarity and integrated rinanc	ai inciwork, inc., Da	vid V. Landes (2/10/1777)		
Examiner	/Jamie Sw	artz/ Da	e Considered	08/20/2007		

Agreement between Parity and Brieflin Kapton Brown & Associates, Inc., David V. Landes (47/1995) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (47/1995) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (67/11993) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (67/11993) Agreement between Parity and ABIN AMRO Securities (USA) Inc., David V. Landes (17/28/1995) Agreement between Parity and William R. Hough & Co., David V. Landes (17/28/1995) Agreement between Parity and US Bank of Washington, N.A., David V. Landes (17/1949) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Paine Webber, Inc., David V. Landes (10/15/1995) Agreement between Parity and Girdlin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Girdlin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Prodential Securities, Inc., David V. Landes (8/11/1994) Agreement between Parity and Prodential Securities, Inc., David V. Landes (8/11/1995) Agreement between Parity and Prodential Securities, Inc., David V. Landes (8/11/1995) Agreement between Parity and Prodential Securities, Inc., David V. Landes (8/11/1995) Agreement between Parity and Prodential Securities, Inc., David V. Landes (8/11/1998) Bloomberg Series Received Malein, The Bond Buyer (8/11/1998) Bloomberg Series Beteronic Bidding Platform is Success	INFORMATION DISCLOSUR	E ATTY, DOCKET NO.	SERIAL NO.				
HARRINGTON et al. FILING DATE TC/A.U. 07 July 2003 3694 JS/ Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (4/12/1995) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (6/71/1993) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (6/71/1993) Agreement between Parity and A.B.N AMRO Securities (USA) Inc., David V. Landes (1/12/1995) Agreement between Parity and US Bank of Washington, N.A., David V. Landes (1/12/1995) Agreement between Parity and Fidelity Capital Markets, David V. Landes (1/12/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Grifflin, Kubik, Stephens & Thompson, Inc., David V. Landes (2/28/1996) Agreement between Parity and Grifflin, Kubik, Stephens & Thompson, Inc., David V. Landes (8/18/1995) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (4/19) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/1955) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/1955) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/1995) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/1995) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/1995) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (1/1994) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (1/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (1/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (1/1995) Agreement between Parity and Piper Jaffray Inc., David V. Landes (1/1995) Agreement between Parity and Piper Jaffray Inc., David V. Landes (1/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend,	CITATION	3594-14	10/613,319				
FILING DATE TC/A.U. 197 2003 3694							
FILING DATE TC/A.U. 197 2003 3694		HARRINGTON et al.					
Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (4/12/1995) Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (6/7/1993) Agreement between Parity and ABN AMRO Securities (USA) Inc., David V. Landes (1/128/1995) Agreement between Parity and William R. Hough & Co., David V. Landes (1/129/1995) Agreement between Parity and William R. Hough & Co., David V. Landes (1/129/1995) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/15/1995) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/15/1996) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/15/1996) Agreement between Parity and Grifflin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (8/11/1994) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (1/10/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (1/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1993) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1993) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1993) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/10/1993) Agreement between Parity and Russ Jansky BVP, David V. Landes ((Use several sheets if necessary)		TC/A.U.				
Agreement between Parity and A.G. Edwards & Sons, Inc., David V. Landes (67/11993) Agreement between Parity and ABN AMRO Securities (USA) Inc., David V. Landes (1728/1995) Agreement between Parity and William R. Hough & Co., David V. Landes (1719/1995) Agreement between Parity and US Bank of Washington, N.A., David V. Landes (17/1994) Agreement between Parity and Paine Webber, Inc., David V. Landes (10/26/1994) Agreement between Parity and Paine Webber, Inc., David V. Landes (10/26/1994) Agreement between Parity and Gioldman, Sachs & Co., David V. Landes (10/15/1995) Agreement between Parity and Girlfin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (6/18/2003) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1996) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (8/11/1995) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (8/11/1995) Agreement between Parity and J.P. Morgan Securities, Inc. David V. Landes (1/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. David V. Landes (1/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (Markown) Agreement between Parity and Russ Jansky BVP, David V. Landes (Markown) Agreement between Parity and Russ Jansky BVP, David V. Landes (Markown) Agreement between Parity and Musir Developed Parity Burkowsky (8/11/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/11/1998) Electronic Bidding: Rane Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The		07 July 2003	3694				
Agreement between Parity and A.B. Edwards & Sons, Inc., David V. Landes (17/1993) Agreement between Parity and William R. Hough & Co., David V. Landes (11/29/1995) Agreement between Parity and William R. Hough & Co., David V. Landes (11/29/1995) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/26/1995) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/26/1995) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Protential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and Muss Jansky BVP, David V. Landes (11/10/1993) Agreement between Parity and Muss Jansky BVP, David V. Landes (11/10/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Munit Technology Provider, Robert Whalen, The Bond Buyer (7/1998) Fro							
Agreement between Parity and ABN AMRO Securities (USA) Inc., David V. Landes (7/28/1995) Agreement between Parity and US Bank of Washington, N.A., David V. Landes (11/29/1995) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/19/1995) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (10/19/1995) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (7/71/993) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/87/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/87/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1992) Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and Jr. Morgan Securities, Inc. on with Amendment No. 1, David V. Landes (8/12/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/12/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/12/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (3/12/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (1/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/11/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Compelitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/8/1998) From Elsewhere, Californ							
Agreement between Parity and Fildelity Capital Markets, David V. Landes (11/29/1995) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Foldman, Sachs & Co., David V. Landes (10/26/1995) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Capton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (1/10/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (1/10/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/18/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Spee	Agreement between	rarity and A.G. Edwards & Sons, Inc., I	Javid V. Landes (6/7/1993)				
Agreement between Parity and US Bank of Washington, N.A., David V. Landes (47/1994) Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Paine Webber, Inc., David V. Landes (10/26/1995) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (2/28/1996) Agreement between Parity and Girlfin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Prudential Securities, Inc., David V. Landes (2/13/1995) Agreement between Parity and J.P. Morgan Securities, Inc., David V. Landes (1/10/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (1/10/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/18/1998) From Elsewhere, California Public Finance (6/8/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robe							
Agreement between Parity and Fidelity Capital Markets, David V. Landes (10/26/1994) Agreement between Parity and Raine Webber, Inc., David V. Landes (10/15/1995) Agreement between Parity and Goldman, Sachs & Co., David V. Landes (2/28/1996) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Prudential Securities, Inc., David V. Landes (8/11/1994) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (11/10/1995) Agreement between Parity and Massinasky BVP, David V. Landes (11/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (11/10/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (11/10/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/13/1998) From Elsewhere, California Public Finance (6/8/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, Th							
Agreement between Parity and Roloman, Sachs & Co., David V. Landes (10/15/1995) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Prudential Securities, Inc., David V. Landes (1/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc., with Amendment No. 1, David V. Landes (11/10/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (3/22/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (3/21/1998) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/13/1998) From Elsewhere, California Public Finance (6/8/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Ulah Plans S26							
Agreement between Parity and Goldman, Sachs & Co., David V. Landes (2/28/1996) Agreement between Parity and Griffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Clayton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Prudential Securities, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc., with Amendment No. 1, David V. Landes (5/24/20) Agreement between Parity and Mesirow Financial Inc., David V. Landes (11/10/1993) Agreement between Parity and Mesirow Financial Inc., David V. Landes (11/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/18/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/17/1998) Utah Pl							
Agreement between Parity and Ciriffin, Kubik, Stephens & Thompson, Inc., David V. Landes (6/18/2003) Agreement between Parity and Elayton Brown & Associates, Inc., David V. Landes (7/7/1993) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/11/1994) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (8/11/1995) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Russ Jansky BVP, David V. Landes (1/2/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (unknown) Agreement between Parity and Mesirow Financial Inc., David V. Landes (1/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/18/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (7/18/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (7/18/1998) Utah Plans S265 Million Competitive Bond Sale over Bloomberg, Robert Whalen, The Bond Buyer (6/1/1998) Electronic Bidding Through Parity							
Agreement between Parity and Everen Securities, Inc., David V. Landes (3/7/1993) Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/1994) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and Prudential Securities, Inc., with Amendment No. 1, David V. Landes (5/24/24) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/129/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (7/8/1998) From Elsewhere, California Public Finance (6/8/1998) Utah 's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (7/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/11/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buye	Agreement between	Parity and Griffin Kuhik Stephens & T	Chompson Inc. David V. Landes (6/18/2003)				
Agreement between Parity and Everen Securities, Inc., David V. Landes (8/8/1995) Agreement between Parity and Smith Barney, Inc., David V. Landes (4/29/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/31/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/24) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (3/22/1995) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Fin	Agreement between	Parity and Clayton Brown & Associates	Inc. David V. Landes (7/7/1993)				
Agreement between Parity and Smith Barney, Inc., David V. Landes (4/29/1996) Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc., with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (11/10/1993) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah Plans \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, K	Agreement between	Parity and Everen Securities Inc. Davi	d V. Landes (8/8/1995)				
Agreement between Parity and Smith Barney, Inc., David V. Landes (8/11/1994) Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1995) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/24) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (11/10/1993) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) Utah Plans S265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond Buyer (1/19/1995)	Agreement between	Parity and Smith Ramey Inc. David V	Landes (4/29/1996)				
Agreement between Parity and Piper Jaffray Inc., David V. Landes (8/31/1992) Agreement between Parity and Lehman Brothers, Inc., David V. Landes (2/13/1995) Agreement between Parity and Prudential Securities, Inc., David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc., with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (unknown) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/18/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond Buyer (7/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) Utah 's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Utah to Hold \$265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and Smith Barney, Inc. David V	Landes (8/11/1994)				
Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (1/1993) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/11/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and Piner Jaffray Inc. David V	andes (8/31/1992)				
Agreement between Parity and Prudential Securities, Incorporated, David V. Landes (11/10/1995) Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (17/1/1993) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond Buyer (1/19/1995)							
Agreement between Parity and J.P. Morgan Securities, Inc. with Amendment No. 1, David V. Landes (5/24/ Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (unknown) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Ulah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans \$265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/8/1998) Utah Plans \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and Prudential Securities Incorn	orated David V. Landes (11/10/1995)				
Agreement between Parity and Dillon, Read & co. Inc., David V. Landes (3/22/1995) Agreement between Parity and Russ Jansky BVP, David V. Landes (unknown) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Utah Plans \$265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/3/1998) Utah Plans \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and I.P. Morgan Securities Inc.	with Amendment No. 1. David V. Landes (5/24/95, 3/1/19				
Agreement between Parity and Russ Jansky BVP, David V. Landes (unknown) Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/3/1998) Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and Dillon Read & co. Inc. Day	id V. Landes (3/22/1995)				
Agreement between Parity and Mesirow Financial Inc., David V. Landes (7/1/1993) Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	greement between Parity and Russ Jansky BVP, David V. Landes (unknown)					
Automated Trading & Straight Through Processing, Robert Whalen, The Bond Buyer (8/31/1998) Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bond (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/8/1998) Utah Plans S265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Agreement between	Parity and Macirow Financial Inc. Day	id V. Landes (7/1/1993)				
Bloomberg Offers Free Electronic Municipal Underwriting Service, PR Newswire (8/21/1998) Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bot (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/17/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/17/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Automated Trading	P. Straight Through Processing Robert	Whalen The Bond Buyer (8/31/1998)				
Electronic Bidding: Rare Competitive Sale by Florida Hospital Could Spark Trend, Robert Whalen, The Bo (7/29/1998) Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael In The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/17/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Disambara Offers F	Electronic Municipal Underwriting	Service PR Newswire (8/21/1998)				
Electronic Offerings: Wave of the Future of Fad of the Present, Robert Whalen, The Bond Buyer (7/15/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Utah Plans Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael In Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/10/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Electronic Bidding:	Rare Competitive Sale by Florida Hospi	ital Could Spark Trend, Robert Whalen, The Bond Buyer				
Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/17/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael In The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/17/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Flectronic Offerings	Wave of the Future of Fad of the Prese	ent, Robert Whalen, The Bond Buyer (7/15/1998)				
Accounting Systems and Information Services, Robert Whalen, The Bond Buyer (7/13/1998) Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bond (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/18/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael In The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1998) Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	A grounting Systems	and Information Services Robert Wha	len. The Bond Buyer (7/13/1998)				
Competition Rise to be Lead Muni Technology Provider, Robert Whalen, The Bond Buyer (7/8/1998) Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bon (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/10) Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Accounting Systems	and Information Services, Robert Wha	len. The Bond Buyer (7/13/1998)				
Bloomberg's Electronic Bidding Platform is Successfully Used for Utah GO Sale, Robert Whalen, The Bor (6/17/1998) From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/1/1941) Utah to Hold S265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Competition Rise to	he Lead Muni Technology Provider, Ro	obert Whalen, The Bond Buyer (7/8/1998)				
From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/8/1998) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/9/1994) Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Bloomberg's Electron	onic Bidding Platform is Successfully U	Ised for Utah GO Sale, Robert Whalen, The Bond Buyer				
Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6) Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/4) Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	From Elsewhere, Ca	From Elsewhere, California Public Finance (6/8/1998) Utah's Treasurer Striding to Stay in Step with the Speed of Technology, Robert Whalen, The Bond Buyer (6/3/1998)					
Bloomberg Sees Its Entry onto Bidding Playing Field as "Natural Extension", Robert Whalen and Michael I The Bond Buyer (6/3/1998) Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Utah's Treasurer Str						
Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/ Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Bloomberg Sees Its The Bond Buyer (6/	Entry onto Bidding Playing Field as "N 3/1998)	atural Extension", Robert Whalen and Michael B. Marois,				
Utah to Hold \$265 Million Competitive Bond Sale over Bloomberg; Electronic Sale a first for both the State Bloomberg, PR Newswire (6/1/1998) Electronic Bidding Through Parity and MuniBid, The Bond Buyer (8/21/1996) Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B (1/19/1995)	Utah Plans \$265 Mi	Utah Plans S265 Million Deal Via Bloomberg, Robert Whalen and Michael B. Marois, The Bond Buyer (6/2/1998)					
Bonds Finish Up; Competitive Deals by Wisconsin and Others Feed Market, Katie O'Donnell, The Bond B	Utah to Hold \$265 M Bloomberg, PR New	Million Competitive Bond Sale over Blo swire (6/1/1998)	comberg; Electronic Sale a first for both the State and				
(1/19/1995)	Electronic Bidding	hrough Parity and MuniBid, The Bond	Buyer (8/21/1996)				
Email from Veres to Chiappetta: Parity Pricing Follow-Up, Dan Veres (9/8/2000)	(1/19/1995)						
V	Email from Veres to	Chiappetta: Parity Pricing Follow-Up,	, Dan Veres (9/8/2000)				
	▼						

Date Considered

	She	et	1	of 2
--	-----	----	---	------

INFORMATION DISCLOSURE

ATTY. DOCKET NO.

SERIAL NO.

CITATION

several sheets if necessary)

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

FILING DATE

GROUP

07 July 2003

U.S. PATENT DOCUMENTS

		U.S	S. PATENT DOCUMENTS			FILING DATE
XAMINER		DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
INITIAL	DOCUMENT NUMBER	01/1989	Shavit et al.			
_/JS/	4,799,156	06/1998	Berent et al.			
/JS/	5,774,873	07/1998	Wesinger, Jr. et al.			<u> </u>
/JS/	5,778,367	08/1998	Brown		<u> </u>	
/JS/	5,794,219	09/1998	Mossberg		<u> </u>	
7JS/	5,803,500	10/1998	Fujisaki			
7JS/	5,818,914	11/1998	Fisher et al.			
/JS/	5,835,896	12/1998	Woolston			
/JS/	5,845,265	03/1999	Godin et al.			
/JS/	5,890,138	11/1999	O'Neil et al.		l	
/JS/	5,987,440		Berent et al.			
/JS/	6,006,201	12/1999	Barzilai et al.			
/JS/	6,012,045	01/2000	Brown			
/JS/	6,023,686	02/2000	Ausubel			
/JS/	6,021,398	02/2000	Ausubel			
	6,026,383	02/2000	Mori et al.			
/JS/	6,044,363	03/2000	Franklin et al.			
/JS/	6,055,518	04/2000	Odom et al.			
/JS/	6,058,379	05/2000	Woolston			
/JS/	6,085,176	07/2000	Walker et al.			
	6,085,169	07/2000	Walker et al.			
/JS/ /JS/	6,108,639	08/2000	Brown			
/JS/	6,167,386	12/2000				
— /jš/	6,202,051	03/2001	Woolston Walker et al.			
/JS/	6,249,772	06/2001				
/JS/	6,243,691	06/2001	Fisher et al.			· ·
/JS/	6,266,652	07/2001	Godin et al.			
1JS/	6,324,538	11/2001	Wesinger, Jr. et al.			
/JS/	6,332,129	12/2001	Walker et al.		_	
	6,356,878	03/2002	Walker et al.			
	2002/0035564	03/2002	Wesinger, Jr. et al.			
/JS/	2002/0082980	06/2002	Dinwoodie			
/JS/	6,415,269	07/2002	Dinwoodie		_	
/JS/	6,415,264	07/2002	Walker et al.			
	2003/0069817	04/2003	Graff			
/JS/	1					
	+ +					
	 				_	
	 					

Date Considered 08/21/2007
*Examiner /Jamie Swartz/ *Examiner /Jamie Swartz/ Include through citation if not in conformance and not considered. Included the conformance and not considered. Included the conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

INFORM.	ATION DISCLOSURE CITATION
OCT 0 7 2003	i i
PER (USO S TRADEUM	seral sheets if necessary)

ATTY.	DOCKET	NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

FILING DATE

GROUP

07 July 2003

	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
	Stirland, Sarah, "Wall Street Is Rattled by Software That Can Remake Competitive Deals,"
/JS/	THE BOND BUYER (8/21/96)
- 	1 3/ 2 7
+	Parity Reference manual, Version 2.7 BIDCOMP brochure, "When you've been on the underwriting desk as long as we have – you've got to be better than
	good. (1990)
_	1 1 A
+	Running the Parity program, pp. 1-14 [Thomas, Rick, President MuniBid Inc., "Wall Street Players Shouldn't Block New Bidding Technology," THE BOND
+	BUYER (10/21/96) Whalen, Robert, "Pittsburgh Will Be First Issuer To Auction Bonds On The Web Maturity By Maturity," THE BOND
	BUYER (11/13/97) Cohen Jodi B., "MuniAuction Takes Show on the Road, Pitches Case to Potential Customers," THE BOND BUYER
-	
1	(2/17/98) (2/17/98) Cullen, Terri, "Internet Auction Site for Muni Bonds Could Spark Changes in Credit Markets," THE WALL STREET
-	1/ 1-01-001-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-
\top	/ Shields, Yvette, "Panelists at Odds Over Internet's Maturity Bidding," THE BOND BUYER (5/22/1998)
╅	Letter from Peter K. Trzyna, Esq. dated 2/21/01
\top	
1	Letter from Peter K. Trzyna, Esq. dated 3/1/99 / Grant Street Group Products & Services, http://www.grantstreet.com/information/products.html, 2 pages, copyright 199
1	
1	2001 Grant Street Group Information, http://www.grantstreet.com/information/, 3 pages, copyright 1997-2001 Grant Street Group Information, http://www.grantstreet.com/information/, 3 pages, copyright 1997-2001
\top	
\neg	Pro, Johnna, City first in nation to use Internet to market, sell municipal bonds," PITTSBURGH POST-GAZETTE
	1/ (11/10/07)
\top	"Municipals Sales & Trading," A collection of Bloomberg Magazine Articles
	"Municipals Sales & Trading," A collection of Biodinberg Wagazine Parties "A Review of Electronic Trading Systems in the U.S. Fixed Income Securities Markets, THE BOND MARKET
1	' ASSOCIATION (10/97)
	/ DALCOMP brochure, "A Thomson Financial Services Company"
\neg	Depart release "Dalcomp Purchases Parity" (1/3/98)
\neg	Thomson Municipal Group brochure, "Integrated Technology"
	http://www.BidOhio.com, Introduction, Internet materials (1/8/01) / http://www.BidOhio.com, Introduction, Internet materials (1/8/01)
\dashv	The state of the s
_	
_	
\dashv	Whalen, Robert, "Pittsburgh Returns to Internet Wild 3257 transfer of State of 1996 Whalen, Robert, "Pittsburgh Pension Deal Blazes Maturity-By-Maturity Trail, THE BOND BUYER (2/5/98) Whalen, Robert, "Pittsburgh Pension Deal Blazes Maturity-By-Maturity Trail, THE BOND BUYER (2/5/98) Whalen, Robert, "Pittsburgh Pension Deal Blazes Maturity-By-Maturity Trail, THE BOND BUYER (2/5/98)
_	
	Notice of Sale, \$167,440,000 City of Phisourgh, Pernsylvania, General Congestion Anything," FORTUNE (4/28/97) Clark, Kim, "On the Frontier of Creative Finance: How Wall Street Can Securitize Anything," FORTUNE (4/28/97)
\dashv	Dominic "Pioneer Deal Uses Convignt to Raise Capital, 1112 (124)
	Extracts from Videotape Deposition of DANIEL VERES, pp. 102-109 (3/20/03)
-+	

*Evaminer /Jamie Swartz/	Date Considered	08/21/2007
Examiner /Jainle Swarz/	th MDED 600. Draw line through cita	tion if not in conformance and not considered. Include

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

OIPE	YC3.	•
Atty. Docket No.	Serial No.	
3594-14 R AUS 0 5	10/613,319	
Applicant	10	
HARRINGTON et ale	EMARE	
Filing Date	TC/A.U.	
07 July 2003	3625	

DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FAPPR			U.S	. PATENT DOCUMENTS				
JS		DOCUMENT NUMBER	DATE		CLASS	SUBCLASS	FILING IF APPRO	DATE
1.St	/15/	5,497,317	06/1996	Hawkins et al.				
		5,774,880	06/1998					
		5,812,987	09/1998	Luskin et al.				
	-/J\$9'	5,866,889	02/1999	Weiss et al.				
		6,009,412	12/1999			<u> </u>		
		6,131,810	10/2000	Weiss				
		6,317,727	11/2001			<u> </u>		
		6,354,490	03/2002	and the same of th				
		6,415,269	07/2002					
JS		6,421,653	07/2002					
JS		6,560,580	05/2003					
JS 2002-0099651A1 07/2002 May	/.IS/	2002-0082980A1	06/2002					
JS	/JS/	2002-0099651A1	07/2002	May		<u></u>		
		2003-0069753A1	4/2003	and the second s		<u> </u>		
1		2003-0093360A1	05/2003	May				
135		2003-0220868A1	11/2003	May				
		2003-0229571A1	12/2003		,)			
JS 2003/0220868A1 11/2003 May			10/2003	Dinwoodie				
JS		2003/0220868A1	11/2003	May				
		2003-0229571A1	12/2003	May				
		2004-0015430A1	01/2004	May				
DOCUMENT DATE COUNTRY CLASS SUBCLASS YES	/JS/	2004/0015431A1	01/2004	May				
DOCUMENT DATE COUNTRY CLASS SUBCLASS YES								
DOCUMENT DATE COUNTRY CLASS SUBCLASS YES			FOREIC	ON PATENT DOCUMENTS			TDANC	ATIO
/JS/ WO 99/19821 4/1999 PCT		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS		NC
	4104						T	l
OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)	/35/	W 0 33/13021	4,1333					
OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)								
		OTHER DOC	JMENTS (inclu	iding Author, Title, Date, Pert	inent pages, e	tc.)	l	
					<u> </u>			
		1						
/Jamie Swartz/ Date Considered 08/21/2007		<u> </u>						

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Date Considered

Silect i oi o			
INFORMATION DISCLOSURE	ATTY, DOCKET NO.	SERIAL NO.	
CIPECITATION	3594-14	10/613,319	
	APPLICANT		
AUG 0 6 2004 2	HARRINGTON et al.	·	
(Use several sheets if necessary)	FILING DATE	TC/A.U.	
TRANFAMEN OF	07 July 2003	3625	

			J.S. PATENT DOCUMENTS			FILING DATE
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIAT
/JS/	3,581,072	05/1971	Nymeyer			
/JS/	4,789,928	12/1988	Fujisaki		<u> </u>	
/JS/ /JS/	4,903,201	02/1990	Wagner			
/JS/	4,980,826	12/1990	Wagner		<u> </u>	
USI	5,003,473	03/1991	Richards		<u> </u>	
/JS/	5,077,665	12/1991	Silverman et al.			
/JS/	5,101,353	03/1992	Lupien et al.		<u> </u>	
/JS/	5,136,501	08/1992	Silverman et al.		<u> </u>	
	5,227,967	07/1993	Bailey		<u> </u>	
/JS/	5,230,048	07/1993	Moy			
JJS/	5,231,571	07/1993	D'Agnostino			
/JS/	5,243,515	07/1993	Lee		<u> </u>	
/JS/	5,270,922	12/1993	Higgins		<u> </u>	
/JS/	5,375,055	12/1994	Togher et al.			
/JS/	5,497,317	03/1996	Hawkins et al.			
/JS/	5,502,637	03/1996	Beaulieu et al.		<u> </u>	_
/JS/	5,724,524	03/1998	Hunt et al.		<u> </u>	
/JS/	5,774,880	06/1998	Ginsberg			
ŤĴŠÍ	5,794,207	08/1998	Walker et al.			
/19/	5,794,212	08/1998	Mistr, Jr.	<u></u>		
/JS/	5,797,127	08/1998	Walker et al.			
/JS/	5,802,501	09/1998	Graff		<u> </u>	
/JS/	5,809,483	09/1998	Broka et al.			
/JS/	5,845,266	12/1998	Lupien et al.			
/JS/	5,857,176	01/1999	Ginsberg			
/JS/	5,862,223	01/1999	Walker et al.			
/JS/	5,905,974	05/1999	Fraser et al.		I	
/JS/	5,905,975	05/1999	Ausubel			
/JS/	5,915,209	06/1999	Lawrence		<u> </u>	
. /JS/	6,023,685	02/2000	Brett et al.		L	L
/JS/	6,029,146	02/2000	Hawkins et al.		<u> </u>	<u> </u>
/JS/	6,115,698	09/2000	Tuck et al.			<u></u>
· /JS/	6,167,384	12/2000	Graff			
	6,201,398	02/2000	Ausubel			1 1
			not com		not cor	1/SICOMP
						- 6
						

		ı	1
*Examiner /Ja	amie Swartz/	Date Considered	08/21/2007

INFORMATION	DISCLOSURE
CITAT	TION

ATTY. DOCKET NO. SERIAL NO.

3594-14 10/613,319

APPLICANT

HARRINGTON et al.

(Use several sheets if necessary)

FILING DATE TC/A.U.

07 July 2003 3625

FOREIGN PATENT DOCUMENTS

						TRANS	LATION
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
7JS/	WO 98/34187	08/1998	PCT				
							<u> </u>
					ļ		
					ļ		
					1	·	
					1		
					1		
1					<u> </u>		L

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)						
7JS/	MARKETING AGREEMENT (11/22/1994), TF 002557 – TF 002569						
1	SECURITIES AND EXCHANGE COMMISSION, 17 CFR Parts 200, 228, 229, 230, 232, 239, 240, 270 and 274, "Use of Electronic Media for Delivery Purposes," (5/9/1996), TF 005695-TF 005723						
	SECURITIES AND EXCHANGE COMMISSION, 1 and 274, "Rulemaking for EDGAR System," (12/19/1	7 CFR Parts 228, 229, 23 1994), TF 005724-TF 005	0, 232, 239, 240, 249, 250, 259, 260, 269 i794				
	SECURITIES AND EXCHANGE COMMISSION, 1 005851 (11/10/1994)	7 CFR Part 240, "Munici	pal Securities Disclosure," TF 005795-TI				
	'Competitive Bond Offerings," (10/08/1997) TF 0059	942					
	'There's a new standard in standard calculations," TI	PS, Summit, NJ, (01/1996	6), TF 012027-TF 012047				
	PARITY, "Running the PARITY program," (1997 TV	venty First Century Muni	cipals, Inc.), TF 012406-TF 012421				
	PARITY, "A Real-Time, No-Risk Bidding Network I	From 21 st Century Munici	ipals," (1992), TF 012542-TF 012546				
	BONDBIDDER, "User's Guide Version 4.2," Financ	ial Systems Group, Inc., I	Matthews, NC, TF 012645-TF 012691				
	MARKETING AGREEMENT, (11/22/1994), TF 001						
	Service Mark Application – Principal Register Based on Intent to Use, Mark: PARITY and Design, (3/25/1992), T 012759- TF 012761						
	Trademark Interview and Amendment Record, (6/4/1	992), TF 012764-TF 012	766				
	Notice of Acceptance of Statement of Use, Mark: PA	ARITY, (2/26/1993), TF 0	12768				
	Certificate of Registration No. 1772581, (5/18/93), T.	F 012771-TF 012772					
	21st Century Municipals, Inc., "CUSTOMER INVOICE	CE ANALYSIS" 01/01/9	6 TO 12/31/96, (4/14/97), TF 012773				
	Brochure, MuniBid, "Optimizing Bond Sales for the	Tax-Exempt Issuer," TF (012795-TF 012796				
	Resnick, Amy B., "Parity On-Line Bidding Program						
	PARITY bidding system, TF 012813-TF 012818 Schory, Brenda, Kane County Chronicle, "Electronic Bid Option," TF 012819, TF013802 (5/27/1993) PARITY Reference Manual, Version 1.22, (1993), TF 012820-TF 012852 Bond Buyer Correspondence (1994), TF 012854-TF 012857						
	THE ELECTRONIC BID SUBMISSION SYSTEM		6				
$ \forall$	7/9/1993 Letter to Dalcomp from The Bond Buyer						
Examiner	/Jamie Swartz/	Date Considered	08/21/2007				

INFORMATION	DISCL	.OSURE
CITA	ΓΙΟN	

ATTY. DOCKET NO.	SERIAL NO.	
3594-14	10/613,319	
APPLICANT		
HARRINGTON et al.		
FILING DATE	TC/A.U.	

3625

(Use several sheets if necessary)

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.) *Examiner Initial 6/14/93 fax to American Banker-Bond Buyer, TF012871-TF012872 /JS/ Notes, TF 012873-TF 012877 Bond Buyer Memo, ``(12/4/92), TF 112878 Bond Buyer Marketing Plan and correspondence, (7/7/1992), TF 012881-TF 012883 Notes, (1993), TF 012884-TF012896 10/13/1994 memo from David Landes, TF 012906-TF 012908 10/27 notes, TF 012921-TF 012923 11/1994 notes, TF 012925-TF 012933 PARITY BID FORM, (1995), TF 012934-TF 012937 Parity, "Product Description," TF 012940-TF 012944 Deal Analysis Sheets, (1997), TF 013082-TF 013255 Deal Analysis Sheets, (1996), TF 013261-TF 013634 Audio Tape, GFOA 1996 Conference, Tuesday Morning General Session, "Technologies Beyond the Finance Office," C. Toregas, et al., TF054432 Audio Tape, GFOA 1996 Conference, Opening General Session, T. Riordan; Mayor V. Kata, President's Address; Keynote - J. Connors, TF054433 Audio Tape, GFOA 1996 Conference, "Reengineering the Finance Office," K. Keith, et al., TF 054434 Audio Tape, GFOA 1996 Conference, "Offering Debt Information on the Internet," A. Anders, et al., TF 054435 Audio Tape, GFOA 1996 Conference, "www Home Pages for Governments," B. Redlin et al., TF 054436 Audio Tape, GFOA 1996 Conference, "Debt Affordability Analysis, A Comparative Approach," T. Firestone, et al., TF 054437 PARITY, "HOST COMPUTER OPERATING GUIDE," TF 01365-TF 013650 Fax from Landes to Dalcomp, BIDCOMP Summary Report, TF 013651-TF013652 PARITY, "ISSUE VIEWER PROGRAM SCREEN SPECS," TF 013653-TF 013670 "Task Description and Notes," (04/10/1998), TF 013671-TF 013687 'Calendar of PARITY issues" and other formats (1998), TF 013688-TF 013694 Press Release, "Wis. electronic bid test passes without a hitch" (09/15/1998), TF 013703-TF 013704 Whalen, Robert, THE BOND BUYER, "No Fuss, No Muss for Wisconsin Deal, And Morgan Stanley Wins the Bid," (09/16/1998), TF 013705-TF 013707 MuniBid brochure, "Optimizing Bond Sales for the Tax-Exempt Issuer," TF 013708-TF 013709 BidComp disk, TF -13710 THE BOND BUYER'S WORKSHEETS, BIDCOMP, TF 013711 – TF 013714 THE BOND SWAP SERIES, Piedmont Software Company, Charlotte, NC, TF 013715 - TF 013728 PARITY, Reference Manual, Version 1.22 (09/01/1992), TF 013775-TF 013801 "INSTRUCTIONS FOR ACCESSING ISSUER PROGRAM" (PARITY 1992-1994), TF 013805 – TF 013813 HOST COMPUTER OPERATING GUIDE," TF 013814-TF 013823 PARITY, "Running the PARITY program," TF 013829-TF 013843 (PARITY 1993-1995) 'NOW, ALL THE POWER OF BIDCOMP CAN FIT IN YOUR PC," TF 013944-TF 013946 /Jamie Swartz/ 08/21/2007 Date Considered

07 July 2003

INFORMATION	DISCLOSURE
CITAT	ION

ATTY, DOCKET NO.	SERIAL NO.
3594-14	10/613,319
APPLICANT	
HARRINGTON et al.	
FILING DATE	TC/A.U.

3625

(Use several sheets if necessary)

051060 (11/1997)

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.) *Examiner Initial FSG. "SYSTEM DESCRIPTIONS," Financial Systems Group, Inc., Matthews, NC, TF 014000-TF 014008 /JS/ FSG, Financial Systems Group, Inc., TF 014009-TF 014013 PARITY, "Reference Manual, Version 2.7," TF 014016-TF 014051 Government Finance Officers Association, "Technology and Public Finance, 90th Annual Conference, Portland, Oregon," (May 19-22, 1996), TF 054143- TF 054187 Article, "Fax Your Bid to Brevard" 'Bid Submission Confirmation" (July 21, 1992) 'Bidcomp Screen," "Parity Bid Entry Screen," text TF 002565 Brochure: "It's the SIA/PSA Standard Securities Calculations Software Library. Produced by TIPS." TF 012033, 012035 BONDBIDDER User's Guide, Version 4.2," Financial Systems Group, Inc., TF 012645-TF 012691 Citations TF 050079-TF 050145 (printed 10/1/01) RetroSearch, 9/13/2001 TF 050146-TF 050150 Citations TF 050151-TF050179 (printed 10/1/01) Citations TF 050180-TF050185 (printed 9/13/01) Citations TF 050186-TF 050338 (Printed 9/13/01) Citations TF 050339-TF 050501 (Printed 10/2/01) Citations TF 050502-TF 050530 (Printed 2/6/02) Citations TF 050531-TF 050534 (Printed 2/6/02) Citations TF 050535-TF 050706 (Printed 2/6/02) Citations TF 050707-TF 050770 (Printed 2/6/02) Citations TF 050771-TF 050775 (Printed 10/2/01) Citations TF 050776-TF 050780 (Printed 10/2/01) Citations TF 050781-TF 050787 (Printed 10/2/01) Citations TF 050788-TF 050864 (Printed 10/2/01) Citations: TF 054133-TF 954135 (Printed 4/18/02) Bureau of the Public Debt online, "Public Debt's Strategic Plan 1997-2001" TF 050950-TF 050962, TF 050867-TF 050879 Government Finance Officers Association - GFOA.ORG, TF 050963-TF 050987 (Copyright 2002) Yahoo Premium Document Search, Search results, TF 050988-TF 051013 (Printed 2/6/02) 'Bidding Wars" TF 051014-TF 051016 (10/10/1997) "Poway set to auction land in industrial part. (South Poway Business Park)," San Diego Business Journal, TF 051017-TF 051018 (7/3/1995) Edwards, Cheryl L., "Open Market Operations in the 1990s," Federal Reserve Bulletin, pp 859-874, TF 051045-TF

07 July 2003

*Examiner /Jamie Swartz/ Date Considered 08/21/2007

charity auction for The Computer Museum in Boston," TF 051625-TF 051627 (5/24/1995)
Siegmann, "Nowhere to go but up," PC WEEK, v. 12, n. 42, TF 051628-TF 051630 (10/23/1995)

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

'ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long

INFORMATION DISCLOSURE	ATTY. DOCKET NO.	SERIAL NO.
CITATION	3594-14	10/613,319
	APPLICANT	
	HARRINGTON et al.	
(Use several sheets if necessary)	FILING DATE	TC/A.U.

07 July 2003

3625

Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)			
/JS/	1F 031031, 031032 (0/3/1993)			
	Federal Reserve Bank of New York Research and Market Analysis Group, "Publications & Other Research 1997," TF 051779-TF 051822			
Bartolini, L. and Cottarelli, C., "Designing Effective Auctions for Treasury Securities," FEDERAL RESERVE OF NEW YORK CURRENT ISSUES IN ECONOMICS AND FINANCE, Vol. 3, number 9, TF 051817-TF 0.050003-TF 050073 (7/1997)				
	Federal Reserve Bank of New York Research and Market Analysis Group, "Publications & Other Research 1995," TF 051826-TF 051863			
	Reinhart, V., "An Analysis of Potential Treasury Auction Techniques," FEDERAL RESERVE BULLETIN (June 1992 TF 051893-TF 051903			
	Mester, Loretta J., "There's More than One Way to Sell a Security: The Treasury's Auction Experiment," BUSINESS REVIEW (July/August 1995) TF 051906-TF 051920			
	Friedman, Milton, "How to Sell Government Securities," THE WALL STREET JOURNAL, p. A8 (8/28/1991), TF 051921-TF 051923 Stevens, E.J. and Dumitru, Diana, "Auctioning Treasury Securities," ECONOMIC COMMENTARY FEDERAL RESERVE BANK OF CLEVELAND (6/15/1992) TF 051924-TF 051927			
	Brochure, "The Finance Officer and The Communities of Tomorrow," Conference dates: June 1-4, 1997, Exhibit dates June 1-3, 1997, Application/Contract for Exhibit Space, Registration Form, TF 051928-TF 051935			
	Preliminary Conference Program, "The Finance Officer and The Communities of Tomorrow," 91st Annual Conference June 1-4, 1997, TF 051937-TF 051952			
	"Joint Report on the Government Securities Market," TF 051953-TF 052148 (January 1992)			
	Miller, Preston J. and Roberds, William, "How Little We Know About Deficit Policy Effects," FEDERAL RESERV BANK OF MINNEAPOLIS QUARTERLY REVIEW WINTER 1992, TF 052149-TF 052168			
	Umlauf, Steven R., "An empirical study of the Mexican Treasury bill auction," JOURNAL OF FINANCIAL ECONOMICS 33 (1993) 313-340. North-Holland, TF 052169-TF 052196 (1993) Brochure, "Integrated Technology," Thomson Municipal Group, TF 002702-TF 002706 White, Wilson, "BASICS, The Municipal Bond Market," TF 006782-TF-007043 (1985) FUNDAMENTALS OF MUNICIPAL BONDS, Fourth Edition, Public Securities Association, TF 007044-TF 007280 (1990) GLOSSARY OF MUNICIPAL SECURITIES TERMS, First Edition: 1985 Municipal Securities Rulemaking Board, TF 007281-TF 007396 Security Industry TRENDS, An Analysis of Emerging Trends in the Securities Industry, Vol. XXII, No. 4, "America's Financial Markets – A Global Capital Pool," TF 010721-TF 010732 (8/30/1996) Security Industry TRENDS, An Analysis of Emerging Trends in the Securities Industry, Vol. XXII, No. 3, "Securities Industry Performance: 1H96," TF 010734-TF 010764 (7/18/1996) Whalen, Robert, "News and Trends: Market Keen on Dalcomp acquisition, Expanded Platform for Internet Deals," TH BOND BUYER, TF 012295-TF 012297 (3/4/1998)			
	Ulick, Jake, "Strong Demand For New Deals Uplifts Munis, Slashes Yields," THE BOND BUYER, TF 012298-TF 012299 (2/4/1998)			
\forall	Prospero, L., "Munis Unchanged As Busy New-Issue Session Begins," DOW JONES NEWSWIRES, TF 012300 (2/3/1998)			
xaminer	/Jamie Swartz/ Date Considered 08/21/2007			

INFORMATION	DISCLOSURE
CITA'	TION

ATTY. DOCKET NO.	SERIAL NO.	
3594-14	10/613,319	
APPLICANT		
HARRINGTON et al.		
FILING DATE	TC/A.U.	

3625

(Use several sheets if necessary)

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.) *Examiner Initial Prospero, L., "Munis -2: Pittsburgh To Sell \$257M Bonds Via Internet," DOW JONES NEWSWIRES, TF 012301-TF /JS/ 012302 (2/2/1998) Rannazzisi, J., "Munis End Flat As New Issues Worth \$2 Billion Are Well Received," THE BOND BUYER, TF 012303—TF 012304 (11/19/1997) Richtmyer, R., "News and Trends: Muni Players Leery of Grant Street's Foray Into On-Line Bidding," THE BOND BUYER, TF 012305-TF 012307 (06/11/1997) Richtmyer, R., "Grant Street Advisers is Setting Up The First Muni Bond Auction Web Site," THE BOND BUYER, TF 012308-TF 012309 Richtmyer, Richard, "Gaining PARITY: Dalcomp Purchases Electronic Bid System to Exploit Internet," THE DIGEST, Vol. 323, No. 30338, TF 012395-TF 012396 (2/25/1998) Brochure, "MuniBid, Optimizing Bond Sales for the Tax Exempt Issuer," TF 012795-TF 012796 Brochure, "BIDCOMP: Reliability and support, backed by the integrity of The Bond Buyer," TF 013947-TF 013948 "The 1998 Review of Electronic Transaction Systems in the U.S. Fixed Income Securities Markets," TF 049957-TF 049983 (11/1998) 'The Bureau of the PUBLIC DEBT, Strategic and Operational Plan 1997-2001," TF 049984-TF 049999 1996 Survey on the Use of the Internet and Other On-Line Services by Public Finance Officials," BMIA, Government Finance Officers Assn., TF 050865-TF 050866, TF 054320-TF 054324 Bureau of the Public Debt online, "Public Debt's Strategic Plan 1997-1002, TF 050867-TF 050879 (Updated 5/20/1999) Cammack, Elizabeth B., "Evidence on Bidding Strategies and the Information in Treasury Bill Auctions," JOURNAL OF POLITICAL ECONOMY, TF 052197-TF 052227 Schmerken, Ivy, "Cleansing The Treasury Auction,", WALL STREET COMPUTER REVIEW, Vol. 9, No. 2, 29, TF 053206-TF 053210, TF 054138-TF 054142 McCright, John S., "Auctioning off the Internet, Delphi, museum to sell wares on web," TF 053211, TF 054136-K.C.; Harlan, Megan, Reviews, "The Week" ENTERTAINMENT WEEKLY, 05/02/97 Issue 377, p 64, TF 053212-TF 053214 Gordon, Maynard M., "Auctions become high tech. (auto auctions)," DEALER BUSINESS, Vol. 29, No. 7, p. 21, TF 053215-TF 053217(March 1995) Mardesich, Jodi, "Onsale takes auction gavel electronic; site offers clearance for end-of-life products," COMPUTER RESELLER NEWS, NO. 691, p. 2, TF 053218-TF 053220 (7/8/1996) Elliott, Elaine X., "A New Web Site Gives Agents An In on the Auction Craze," TRAVEL AGENT, TF 053221-TF 053224 (11/18/1996) Cyberguide: Learning Lab + Marketplace, NETGUIDE, Vol. 2, No. 8 (08/1995) TF 053345-TF 053349 Online Auction House Finds Growth With Second-Hand Technology," WEBWEEK, Vol. Two, Issue Eight, TF 053392-TF 053397 (6/17/1996) Ravindran, S. et al., "Strategies for Smart Shopping in Cyberspace," JOURNAL OF ORGANIZATIONAL COMPUTING AND ELECTRONIC COMMERCE 6(1), 33-49, TF 053398-TF 053406 (1996) Cheng, Kipp, "On-the-block party; A celeb auction in cyberspace," ENTERTAINMENT WEEKLY, TF 053427(5/2/1997) Eng, Paul M., "Auctioning Off Relics Of The Computer Age," BUSINESS WEEK ONLINE, TF 053407 (4/11/1994) Eng, Paul M., "Window-Shopping Will Never Be The Same," BUSINESS WEEK ONLINE, TF 053408 (4/11/1994)

07 July 2003

- CASTRIATO	*Examiner	/Jamie Swartz/	Date Considered	08/21/2007
-------------	-----------	----------------	-----------------	------------

INFORMATION	DISCLOSURE
CITAT	TION

ATTY, DOCKET NO.	SERIAL NO.	
3594-14	10/613,319	
APPLICANT		
HARRINGTON et al.		
FILING DATE	TC/A.U.	

(Use several sheets if necessary)

07 July 2003 3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
/JS/	Citations: TF053409 (printed 10/2/01)
	Lu, Stacy, "Taking In the Sites; Web's On-Line Auctions Are a Browser's Delight," THE NEW YORK TIMES, TF 953410-TF 053412 (12/30/1996)
	Bryant, Adam, "Am I Bid Six? Click to Bid Six!; Airlines Are the Latest to Move to On-Line Auctions," THE NEW YORK TIMES, TF 053413-TF 053416 (5/13/1996)
	Lewis, Peter H., "Company News; Auction of Collectibles on the Internet," THE NEW YORK TIMES, TF 053417-TF 053418 (5/23/1995)
	Plotkin, Hal, "Art Net," FORBES, TF 053419-TF 053422 (4/6/1998)
	Flanagan, William G. et al., "Auctions on the Internet?" FORBES, TF 053423-TF 053424 (12/18/1995)
	"Executive Travel; Business Travel Notes," LOS ANGELES TIMES, TF 053425-TF 053426 (9/28/1995)
	"On-line auctions: Going, going," THE ECONOMIST, TF 053428-TF 053429 (5/31/1997)
	Wilder, Clinton, "Sold! On the Web – Internet Shopping Network's First Auction hopes to out-earn its parent," INFORMATION WEEK, TF 053430-TF 053431 (06/16/1997)
	Tomkins, Richard, "Passengers take a seat at the Internet auction: Richart Tomkins on airlines' ventures into cyberspace in their quest to fill unused capacity," FINANCIAL TIMES (LONDON), TF 053432-TF 053433 (6/17/1996)
	Copulsky, Erica, "Art dealers eye Internet as auction mechanism; Cantor Fitzgerald said to be looking seriously," INVESTMENT DEALERS' DIGEST, TF 053434-TF 053435 (11/11/1996)
	Smith, Laura B., "Goinggone; auctions on the Web; includes a related article on RFPs on the Web; PC Week Executive; Internet/Web/Online Service Information," PC WEEK, TF 053436-TF 053440 (08/26/1996)
	Business Wire, "eBay's AuctionWeb: A New Way to Manage Holiday Gift Returns; One Man's Gewgaw is Another's Treasure on Internet Auction Service," TF 053478, 053487 (12/27/1996)
	Business Wire, "eBay's AuctionWeb Tops One Million Bids; Leading Online Auction Service Announces Record Year (12/12/1996) TF 053478-TF 053479, 053487-88
	PR Newswire, "Bonsai Software Introduces the EasyAuction ™ Internet Auction Service," TF 053480, 053489 (12/11/1996)
	PR Newswire, "Bonsai Software Unveils Fourth Internet Auction," TF 053481, 053490 (11/11/1996)
	Business Wire, "Internet Retailer ONSALE Successfully Sells \$12,000 Mainframe in Online Auction Over the World Wide Web," TF 053482, 053491 (11/15/1996)
	'Online auction site building strength," COMPUTER RETAIL WEEK, P. 51, TF 053483, 053493 (12/2/1996)
	O'Connell, D., "Aer Lingus holding auction of airline seats on Internet," TRAVEL TRADE GAZETTE UK & IRELAND, p. 15, TF 053484, 053493 (11/6/1996)
	PR Newswire, "Aer Lingus Internet Auction - Update," TF 053484-TF 053485, 053453-54 (8/12/1996)
	PR Newswire, "Aer Lingus Launches Cyber-Values on the Internet; Irish Airline is First European Carrier to Offer Seat Facility." TF 053485-TF 053486, 053494-95 (08/01/1996)
	Lee, Ho Geun & Clark, Theodore H., "Impacts of the Electronic Marketplace on Transaction Cost and Market Structure INTERNATIONAL JOURNAL OF ELECTRONIC COMMERCE/FALL 1996, Vol. 1, No. 1, pp. 127-149, TF 053496-TF053518 (1996)
V	Rockoff, Todd E. and Groves, Michael, "Design of an Internet-based system for remote Dutch auctions," INTERNET RESEARCH: ELECTRONIC NETWORKING APPLICATIONS AND POLICY, Vol. 5, No. 4, pp. 10-16, TF 053519-053525 (1995)

		ì	1
*Examiner	/Jamie Swartz/	Date Considered	08/21/2007
LXaiiiiici			·

INFORMATION DISCLOSURE	Ξ
CITATION	

ATTY. DOCKET NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

FILING DATE

TC/A.U.

3625

(Use several sheets if necessary)

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.) *Examiner Initial 'Made in Texas: Global Exports Boost State Economy," THE SOUTHWEST ECONOMY, Issue 5 - 1995, Federal /JS/ Reserve Bank of Dallas, TF 053526-TF 053537 (1995) 'Canadian Economy," GOVERNMENT OF CANADA SECURITIES, A PUBLICATION OF THE DEPARTMENT OF FINANCE, TF 053538-TF 053541 (June 1997) Secondary Market," GOVERNMENT OF CANADA SECURITIES, A PUBLICATION OF THE DEPARTMENT OF FINANCE, TF 053542-TF 053543 DICTIONARY OF COMPUTER WORDS, pp. 134-135, 142-143, 194-195, 302-303, TF 053544-TF 053549(1995) "The Finance Officer and The Communities of Tomorrow," 91st Annual Conference, June 1-4, 1997, TF 054188-Government Finance Officers Association, "Performance, 89th Annual Conference," TF 054233-TF 054273 (6/11-Government Finance Officers Association, "Exploring the Boundaries of Government Finance," 88th Annual Conference, TF 054274-TF 054314 (6/5-8/1994 Zom, Paul, "Use of On-Line Technology: A GFOA/MBIA Survey," GOVERNMENT FINANCE REVIEW, pp. 28-30, TF 054315-**TF** 054317 (08/1996) GFOA NEWSLETTER, GFOA PRODUCT CORNER, pp. 2-3, TF 054318-TF 054319 (6/14/1996) Government Finance Officers Association 90th Annual Conference, Portland, Oregon, Audio/Video Tape Listing Order Form, TF 054325-TF 054328 (5/18-22/1996) Underwood, Chris, "A Multiple Round Ascending Auction Process Suitable for the Disposal of Radio Spectrum in New Zealand," TF 054700-TF 054705 (1/17/1996) Gribik, Paul, "*27 Learning From California's QF Auction," PUBLIC UTILITY FORTNIGHTLY, Vol. 133, No. 8, TF 054706-TF 054711 (8/15/1995) La Roche, Elaine, "Bandwidth, Risk and Real Time: Cyberfinance in the 21st Century," THE BOND MARKET ASSOCIATION, ID 04-02671-ID 04-02676 (4/26/1996) Meehan, John J., "Globalization and Technology at Work in the Bond Markets, THE BOND MARKET ASSOCIATION, ID 04-02677-ID 04-02681 (3/1/1997) Ruth, Heather L., "Extracting Business Value From Technology Investments in the Bond Business," THE BOND MARKET ASSOCIATION, ID 04-02682-ID 04-02690 (9/14/1995) Press Release, "PSA Chair Elaine LaRoche Warns Bond Market Professionals to Harness Technology or Become Obsolete," ID 04-02691-ID 04-02692 (4/26/1996) PARITY, "Running the PARITY program," (1994 Twenty First Century Municipals), ID04-02745-ID 04-02758 Patent Maintenance Fees - Public Inquiry, Patent # 6161099, TF 054438 /Jamie Swartz/ 08/21/2007 Date Considered *Examiner

07 July 2003